SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OF CHANGES IN BENEFIC

OMB APPROVAL
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Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* CREAGH GERARD						2. Issuer Name and Ticker or Trading Symbol CHIMERA INVESTMENT CORP [CIM]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last)	(First)		iddle)			3. Date of Earliest Transaction (Month/Day/Year) 12/15/2017								Director Officer (g below)	give title		10% Owner Other (specify below)		
C/O: CHIMERA INVESTMENT CORPORATION 520 MADISON AVENUE, 32ND FLOOR					4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)								 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 					
(Street) NEW YORK (City)	NY (State)	10 (Zi)022 p)											Formine	d by More	than On	e Reportin	ig Person	
		Та	able I - N	on-Der	ivative	Secu	urities Ac	quir	red,	, Dis	posed of,	, or Bene	ficially Ov	/ned					
1. Title of Security (Instr. 3) 2. Transa Date (Month/Date)					Execution Date,		Execution Date, f any		3.4. SecuritiesTransaction Code (Instr. 8)0f (D) (Instr.			Acquired (A) or Disposed 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	le	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)				(Instr. 4)		
Common Stock 12/15/					/2017			A			5,378(1)	Α	\$18.595 ⁽²	2) 89,363		D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date, Transac Code (Ir		on I tr. S	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Securities L Derivative S (Instr. 3 and	Inderlying Security	8. Price of Derivative Security (Instr. 5) Beneficial Owned Following Reported Transacti (Instr. 4)		e C s F lly D o l (l	0. wnership orm: irect (D) r Indirect) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

Explanation of Responses:

1. The reporting person has elected to defer the shares under the Company's Stock Deferral Plan until separation of service.

Code

2. Represents the average daily VWAP for the Company's common stock for the 20 consecutive trading days ending on the trading day immediately prior to the grant date, December 15, 2017.

(D)

(A)

Date Exercisable Expiration Date

<u>/s/ Gerard Creagh</u>

** Signature of Reporting Person

Title

Number of Shares

> <u>12/18/2017</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.