SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* MARRIA MOHIT (Last) (First) (Middle)					CH 3. Da	2. Issuer Name and Ticker or Trading Symbol CHIMERA INVESTMENT CORP [CIM] 3. Date of Earliest Transaction (Month/Day/Year) 02/16/2018								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) below) Chief Investment Officer					
C/O: CHIMERA INVESTMENT CORPORATION 520 MADISON AVENUE, 32ND FLOOR (Street) NEW YORK NY 10022					4. If <i>A</i>	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	Individual or Joint/Group Filing (Check Applicable Line)					
(City)	(State)	(Zi																	
1. Title of Security (Instr. 3)				2. Tra Date	2. Transaction		2A. Deem Execution if any (Month/Da	ed i Date,	3. Transaction Code (Instr. 8)		, or Beneficially Ow ies Acquired (A) or Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Form:	Direct (D) irect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stoc	k			02/	02/16/2018				Code	V	Amount 32,468	2(2)	(D)	Price	151.6			D	
					02/16/2018				F	-	1,800 ⁽⁵⁾		D	\$17.64	149,842			D	
Common Stock															29,236			1	By 401(k) Plan
8.00% Series A Cumulative Redeemable Preferred Stock													3,000				By Spouse		
			Table II -								sed of, o nvertible				ed				
I. Title of Derivative Security (Instr. 3) Security (Instr. 3) Derivative Security S		ate,	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Dat (Month/Day/Yo		te Securities Underly par) Derivative Securit (Instr. 3 and 4)		derlying curity I) Amount	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	s Ily I	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title		Number of Shares					

Explanation of Responses:

1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest one-third per year on the first, second and third anniversaries of the grant date and shall be settled 100% in shares of Chimera common stock.

2. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

3. The reporting person elected to defer share settlement until separation of service.

4. Each RSU has the economic equivalent of one share of Chimera common stock. Dividend equivalent rights issued on RSUs are included in the reporting person's common stock holding balance. Each dividend equivalent right is the economic equivalent of one share of Chimera common stock.

5. Shares reported were withheld for payment of taxes associated with the vesting of prior grants of restricted stock.

/s/	N	lo	hi	t	M	a	rri	a

** Signature of Reporting Person

02/21/2018 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.