SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* CREAGH GERARD						2. Issuer Name and Ticker or Trading Symbol CHIMERA INVESTMENT CORP [CIM]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				vner
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 05/31/2018										Officer (g below)	jive title		Other (specify below)	
C/O: CHIMERA INVESTMENT CORPORATION 520 MADISON AVENUE, 32ND FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)									dividual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(Street) NEW YORK NY 10022 (City) (State) (Zip)															Form file	d by More	than Or	ne Reportin	g Person
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					. Transaction late Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				5. Amount Securities Beneficial Following	ly Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(1150.4)
Common Stock 05/3					31/2018				Α		2,313 ⁽¹⁾		Α	\$18.02 ⁽²⁾	92,913			D	
Common Stock 06/0					1/2018				A ⁽³⁾		5,534 ⁽¹⁾		A	\$0 ⁽⁴⁾	98,4	447		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ive Conversion Date Execution Date, 0 y (Instr. 3) or Exercise (Month/Day/Year) Price of Derivative Security			the section de (Instr. 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) de V (A) (D)		Date		te Se ear) De		Title and A curities Un rivative Se str. 3 and 4	nderlying ecurity	8. Price of Derivative Security (Instr. 5) 9. Numt Gervativ Security (Instr. 5) 0. Wred Followin Reporte Transac (Instr. 4)		e (s llly g (10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

Explanation of Responses:

1. The reporting person has elected to defer the shares under the Company's Stock Deferral Plan until separation of service.

2. Represents the average daily VWAP for the Company's common stock for the 20 consecutive trading days ending on the trading day immediately prior to the grant date, May 31, 2018.

3. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest on the first anniversary of the grant date and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

4. Each RSU has the economic equivalent of one share of Chimera common stock.



** Signature of Reporting Person

06/04/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.