SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr	•	ing Person [*]			СН	IMI		VES	STME	ŇŤ	CORP [CI	м]		ationship of F all applicab Director		Person	(s) to Issuer 10% Ov	vner
(Last)	(First)	(M	iddle)		3. Da		Earliest T 018	ransacti	ion (Mon	th/Day	//Year)				Officer (g below)	ive title		Other (s below)	specify
C/O: CHIMERA INVESTMENT CORPORATION 520 MADISON AVENUE, 32ND FLOOR			l	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indi	lividual or Joint/Group Filing (Check Applicable Line) (Form filed by One Reporting Person Form filed by More than One Reporting Person					
(Street) NEW YORK (City)	NY (State)	10 (Zi	0022 p)												Form file	а ру моге	than C	Jne Reportin	ig Person
		Та	ble I - No	on-Der	ivativ	e S	ecuritie	s Acq	uired,	Disp	oosed of	, or	Benefi	cially Ov	vned				
1. Title of Securit	y (Instr. 3)			Date	saction /Day/Ye	ar)	2A. Deeme Execution if any (Month/Da	Date,	3. Transac Code (li 8)		4. Securitie Disposed	es Ao Of (D	cquired (A)) (Instr. 3,) or 4 and 5)	5. Amount Securities Beneficial Following	y Owned Reported	Form	vnership : Direct (D) direct (I) : 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount		(A) or (D)	Price	Transactic (Instr. 3 an				(Instr. 4)
Common Stoc	:k			05/3	1/201	8			Α		1,326	;	Α	\$18.02(1)	1,3	26		D	
Common Stoc	k			06/0	1/201	8			A ⁽²⁾		5,534		Α	\$0 ⁽³⁾	6,8	60		D	
			Table II -								sed of, o onvertible				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date,	4. Transac Code (In 8)		5. Num Derivat Securit Acquir or Disp (D) (Ins and 5)	tive ties ed (A) posed of	6. Date Expirat (Month	ion Da		Sec Der	Title and A curities Ur rivative Se str. 3 and 4	nderlying ecurity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Titl	le	Number of Shares					

Explanation of Responses:

1. Represents the average daily VWAP for the Company's common stock for the 20 consecutive trading days ending on the trading day immediately prior to the grant date, May 31, 2018.

2. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest on the first anniversary of the grant date and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

3. Each RSU has the economic equivalent of one share of Chimera common stock.

<u>/s/ Debra</u>	W. Still
** **	

06/04/2018 Date

* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.