SEC Form 4

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addr	•	ing Person <sup>*</sup>				lame <b>and</b> Ticker of ERA INVES					<u>/</u> ]		tionship of F all applicab		Person(s	s) to Issuer	
Reilly John	Patrick											_	Director			10% Ov	vner
(Last)	(First)	`	iddle)		3. Date of 05/30/20	Earliest Transactio	on (Montl	h/Day/	Year)				Officer (g below)	Officer (give title below)		Other (s below)	specify
C/O: CHIMEF	RA INVEST	MENT CORP	ORATION		4. If Amen	dment, Date of Or	iginal File	ed (Mo	onth/Day/Ye	ear)		6. Indiv	idual or Joir	nt/Group Fi	iling (Ch	neck Applic	able Line)
520 MADISO	N AVENU	E, 32ND FLOC	R									X	Form file	d by One F	Reportin	ng Person	
,													Form file	d by More	than Or	ne Reportin	g Person
(Street)																	
NEW YORK	NY	10	022														
(City)	(State)	(Zi	p)														
		Та	ble I - Non	n-Deriv	vative Se	ecurities Acq	uired,	Disp	osed of,	, or l	Benefic	ially Ow	ned				
1. Title of Securit	Date		2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			Following	urities eficially Owned owing Reported		nership Direct (D) irect (I) 4)	7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount		(A) or (D)	Price	- Transactio (Instr. 3 an				(Instr. 4)
Common Stor	k			05/30	0/2019		A		5,299	(1)	Α	<b>\$0</b> <sup>(2)</sup>	82,8	397		D	
			Table II - D	Deriva	tive Sec	urities Acqui	red, Di	spos	ed of, o	r Be	neficia	lly Own	ed				
			(e	e.g., p	outs, call	s, warrants, c	ptions	6, со	nvertible	e se	curities	5)					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	) c	ransaction Code (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Expirati (Month/	on Dat		Secu Deri	tle and An urities Und vative Sec tr. 3 and 4)	derlying curity	ying Derivative derivativ		s Ily	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest on the earlier of (1) the first anniversary of the grant date, May 30, 2020, or (2) the date of the Company's next annual stockholder's meeting, and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

Date

Exercisable

(D)

Expiration Date

Title

2. Each RSU has the economic equivalent of one share of Chimera common stock. The reporting person has elected to defer the shares under the Company's Stock Deferral Plan until the earlier of (1) January 31, 2022 or (2) separation from service.

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\*\* Signature of Reporting Person

Amount Number of Shares

> 06/03/2019 Date

Transaction(s) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

v (A)

Code