SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add		2. Issuer Name and Ticker or Trading Symbol CHIMERA INVESTMENT CORP [CIM]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
<u>CREAGH GERARD</u>													Director			10% Ov	vner	
(Last)	3. Date of Earliest Transaction (Month/Day/Year) 05/30/2019								Officer (g below)	ive title		Other (s below)	specify					
C/O: CHIMEF	RA INVEST	4. If Amendment, Date of Original Filed (Month/Day/Year)							6 Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)								
520 MADISON AVENUE, 32ND FLOOR						4. II Amendment, Date of Original Filed (Month/Day/Year)							X Form filed by One Reporting Person					
		_, 02112 1 200										^	Form filed by More than One Reporting Person				a Daman	
(Street)													Formilie	a by More	than One	e Reportin	g Person	
NEW YORK	NY	10	022															
(City)	(State)	(Zi	p)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) 2. Tran Date (Month					saction /Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)				4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				Following			ership Direct (D) ect (I))	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150.4)	
Common Stock 05/3					0/2019		А		5,299	(1)	Α	\$0 ⁽²⁾	117,637		[D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
(e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/	c	l. Transaction Code (Instr. S)	5. Number of Derivative Securities Acquired (A) or Disposed of	6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amou Securities Underl Derivative Securi (Instr. 3 and 4)			derlying curity	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned	e O s Fe lly D	0. Ownership orm: lirect (D) r Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)			

	Security					(D) (Instr. 3, 4 and 5)							Reported	(I) (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Transaction(s) (Instr. 4)			

Explanation of Responses:

1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest on the earlier of (1) the first anniversary of the grant date, May 30, 2020, or (2) the date of the Company's next annual stockholder's meeting, and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

2. Each RSU has the economic equivalent of one share of Chimera common stock. The reporting person has elected to defer the shares under the Company's Stock Deferral Plan until separation from service.

/s/ Gerard Creagh	<u>06/03/2019</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.