SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * MARRIA MOHIT						2. Issuer Name and Ticker or Trading Symbol CHIMERA INVESTMENT CORP [CIM]								5. Relationship of Reporting F (Check all applicable) Director			suer % Owner			
(Last) (First) (Middle) C/O: CHIMERA INVESTMENT CORPORATION					3. Date of Earliest Transaction (Month/Day/Year) 03/18/2020							X	Officer (g below) Chi			ner (specify ow) er				
		-		-																
520 MADISON AVENUE, 32ND FLOOR						4. If Amendment, Date of Original Filed (Month/Day/Year)								 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person 						
(Street)														Form filed by More than One Reporting Perso						
NEW YORK NY 10022																J				
(City)	(State) (Zip)																			
			Та	able I - Nor	n-Deri	vative S	ecurities Acq	uired,	Disp	osed of, c	or Ben	efici	ally Ow	ned						
Date					Date	saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a			or and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	(D) Indirect Beneficial Ownership			
								Code	v	Amount	(A) (D)	or	Price	(Instr. 3 an			(Instr. 4)			
Common Stor	:k				03/1	8/2020		Α		15,000	4	4	\$7.75	390,	868	D				
Common Stock 03					03/1	8/2020		A		14,000		A	\$9.25	43,236		I	By 401(k) Plan			
Common Stock 03					03/1	8/2020		A		3,125	1	•	\$7.82	3,125		T	By Ish Marria ⁽¹⁾			
Common Stock 03/1						8/2020		A		3,125 A		\$7.81	3,125		I	By Sana Marria ⁽¹⁾				
8.00% Series A Cumulative Redeemable Preferred Stock														3,0	00	I	By Spouse ⁽¹⁾			
							urities Acqui ls, warrants, d							ed						
1. Title of	2.	3. Transa	action	3A. Deemed	4	ι.	5. Number of	6. Date Exercisable and 7. Title and Amo			ount of	8. Price of	9. Number	r of 10.	11. Nature					

1. Title of Derivative Security (Instr. 3	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. The reporting person disclaims beneficial ownership of these shares and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

/s/ Mohit Marria

** Signature of Reporting Person

03/18/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.