SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FICIAL OWNERSHIP

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* ABRAMS MARK						2. Issuer Name and Ticker or Trading Symbol <u>CHIMERA INVESTMENT CORP</u> [CIM]							tionship of F all applicab Director		Person(s) to Issuer 10% Ov	whor
(Last)	(First)	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 06/10/2021							7 ^	Officer (g below)	jive title		Other (s below)	
C/O: CHIMERA INVESTMENT CORPORATION 520 MADISON AVENUE, 32ND FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(Street) NEW YORK NY 10022 (City) (State) (Zip)												Form file	d by More	than On	ie Reportin	ig Person	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				Securities Beneficial Following	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		ership Direct (D) rect (I) 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(Instr. 4)	
Common Stock 06/1					0/2021		Α		8,490	(1)	Α	\$0 ⁽²⁾	\$0 ⁽²⁾ 89,828			D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																
1. Title of Derivative Security (Instr. 3)	nstr. 3) Conversion Date Execution Date, or Exercise (Month/Day/Year) if any		ate, T	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and A Securities UI Derivative Sec (Instr. 3 and a			urities Uno vative Sec	derlying curity	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported		e C s F Ily C g (10. Dwnership Form: Direct (D) or Indirect I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

Explanation of Responses:

1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest on the earlier of (1) the first anniversary of the grant date, June 10, 2022, or (2) the date of the Company's next annual stockholder's meeting, and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

Date

Exercisable

(D)

Expiration Date

Title

2. Each RSU has the economic equivalent of one share of Chimera common stock.

/s/ Mark Abrams

** Signature of Reporting Person

Amount or Number of Shares

06/14/2021

Transaction(s) (Instr. 4)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

V (A)

Code