SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to SECTION 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol <u>CHIMERA INVESTMENT CORP</u> [CIM]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Viswanathan Subramaniam													Director		10%	Owner	
(Last)	Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 08/06/2021							x	Officer (g below)	give title	Othe belov	(specify /)	
													Chief Financial Officer				
C/O CHIMERA INVESTMENT CORPORATION					A 16 American descent Date of Original Eilert (Maryth (Day N(see))							C. In dis	A ladi idaalaa kiat(Oraan Eilaa (Ohaal Aasi'i ida di)				
520 MADISON AVENUE, 32ND FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)				
												^	 Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(Street)												Form file	a by wore	than One Repor	ling Person		
NEW YORK	NY	10	022														
(City)	(State)	(Zi	p)														
		Та	ble I - Nor	n-Deri	vative Se	ecurities Acq	uired,	Disp	osed of,	, or E	Benefic	cially Ow	ned				
1. Title of Security (Instr. 3) 2. Tran Date (Month					2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and						6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership		
					Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)			(Instr. 4)			
Common Stock 08/0				6/2021		A		51,402	2 ⁽¹⁾	Α	\$0 ⁽²⁾	51,402		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																
	(e.g., puts, calls, warrants, options, convertible securities)																
			· ·	371													

Explanation of Responses:

1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest one-third per year on January 2 of 2022, 2023 and 2024 and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.

Date

Exercisable

Expiration Date

Title

2. Each RSU has the economic equivalent of one share of Chimera common stock.

/s/ Subramaniam Viswanathan 08/06/2021

(Instr. 4)

Date

** Signature of Reporting Person

Amount or Number of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

Code

(D)