SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Thakkar Sudhanshu	2. Date of Event Requiring Statement (Month/Day/Ye 12/10/2022	3. Issuer Name and Ticker or Trading Symbol <u>CHIMERA INVESTMENT CORP</u> [ CIM ]				
(Last) (First) (Middle C/O: CHIMERA INVESTMENT CORPORATION 630 FIFTH AVENUE, SUITE 2400 (Street) NEW YORK NY 1011 (City) (State) (Zip)		4. Relationship of Reporting Person (Check all applicable) Director X Officer (give title below) Co-Chief Investme	10% Owner Other (specify below)	(Mo 6. In App	nth/Day/Year) ndividual or Joint/ licable Line) X Form filed b	te of Original Filed Group Filing (Check y One Reporting Person y More than One Reporting
Table I - Non-Derivative Securities Beneficially Owned						
1. Title of Security (Instr. 4)		2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ure of Indirect E	Beneficial Ownership (Instr.
Common Stock		7,048(1)	D			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)						
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable Expiration Date (Month/Day/Year)	and 3. Title and Amount of Securitie Derivative Security (Instr. 4)	Co	onversion Exercise	cise (D) or f Indirect (I) ive (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Expir Exercisable Date	tion Title	Amount De	rice of erivative ecurity		

Explanation of Responses:

1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs") granted to the reporting person on December 15, 2021. The RSUs are scheduled to vest one-third per year on the first, second and third anniversaries of the grant date and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock. Dividend equivalent rights ("DERs') issued on RSUs are included in the reporting person's common stock holding balance. Each DER is the economic equivalent of one share of Chimera common stock.

<u>/s/ Sudhanshu Thakkar</u>

12/19/2022

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.