FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

|   | OMB Number:              | 3235-0287 |
|---|--------------------------|-----------|
| ı | Estimated average burden |           |
| ı | hours per response:      | 0.5       |

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person     Viswanathan Subramaniam |         |       | 2. Issuer Name and Ticker or Trading Symbol  CHIMERA INVESTMENT CORP [ CIM ]   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  |   |                       |  |  |  |
|--|---------|-------|--|--|---|-----------------------|--|--|--|
| (Last) (First) (Middle) C/O: CHIMERA INVESTMENT CORPORATION      |         | ,     | 3. Date of Earliest Transaction (Month/Day/Year) 03/27/2023  | X  | Officer (give title below)  Chief Financial ( | Other (specify below) |  |  |  |
| 630 FIFTH AVENUE, SUITE 2400  (Street)  NEW YORK NY 10111        |         |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |   |                       |  |  |  |
| (City)   | (State) | (Zip) | Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contra affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | ct, instructi  | on or written plan that is intende            | ed to satisfy the     |  |  |  |

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction Code (Instr. |   | 4. Securities Ac<br>Disposed Of (D) |                  |                           | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|--------------------------|---|-------------------------------------|------------------|---------------------------|--|---|---|
|                                 |  |   | Code                     | v | Amount                              | (A) or<br>(D)    | Price                     | Transaction(s)<br>(Instr. 3 and 4)                                     |   |   |
| Common Stock                    | 03/27/2023                                 |   | A                        |   | 104,881(1)                          | A <sup>(2)</sup> | <b>\$0</b> <sup>(2)</sup> | 170,038(3)   | D   |   |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction Code (Instr. |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | Owned | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |            |                              |  |  |
|--|---|--|---|--------------------------|---|--|-----|--|--------------------|--|-------------------------------------|---|-------|--|--|------------|------------------------------|--|--|
|  |   |  |   | Code                     | v | (A)  | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   |       |  |  | (Instr. 4) | Transaction(s)<br>(Instr. 4) |  |  |

## Explanation of Responses:

- 1. Represents shares of Chimera common stock underlying restricted stock units ("RSUs"). The RSUs are scheduled to vest one-third per year on the first, second and third anniversaries of the grant date and shall be settled 100% in shares of Chimera common stock. The reporting person does not intend to report the vesting of the RSUs nor the delivery of the shares of common stock.
- 2. Each RSU has the economic equivalent of one share of Chimera common stock.
- 3. The reporting person elected to defer share settlement until separation of service (such deferred share units are herein referred to as "DSUs"). Dividend equivalent rights ("DERs') issued on RSUs and DSUs are included in the reporting person's common stock holding balance. Each DER is the economic equivalent of one share of Chimera common stock.

/s/ Subramaniam Viswanathan 03/29/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.